## The Stoichiometry of Reactions-Introduction

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- Stoichiometry: the determination of the proportions in which chemical elements combine or are produced and the weight relations in any chemical reaction. ${ }^{1}$
- the stoichiometric matrix, $v$
- linearly independent reactions
- mass conservation in chemical reactions
- reaction rates, $r_{i}, \quad i=1, \ldots n_{r}$
${ }^{1}$ Webster’s New World College Dictionary, fourth edition, 2000.
- production rates, $R_{j}, \quad j=1, \ldots n_{s}$


## Chemical Reactions and Stoichiometry

1. Nitric oxide, smog formation in the atmosphere. 1 reaction among 3 species.
2. Water-gas shift reaction. 3 reactions among 6 species.
3. Chemical vapor deposition. 20 reactions among 14 species.

## Nitric Oxide

$$
2 \mathrm{NO}+\mathrm{O}_{2} \rightleftharpoons 2 \mathrm{NO}_{2}
$$

One chemical reaction and three different chemical species: $\mathrm{NO}, \mathrm{O}_{2}$, and $\mathrm{NO}_{2}$.

## Water-Gas Shift Reaction

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

Three chemical reactions and six different chemical species: $\mathrm{H}, \mathrm{H}_{2}, \mathrm{OH}, \mathrm{H}_{2} \mathrm{O}, \mathrm{CO}$, and $\mathrm{CO}_{2}$.

Let $A_{j}$ represent the $j$ th species
$A_{1}=\mathrm{H}, A_{2}=\mathrm{H}_{2}, A_{3}=\mathrm{OH}, A_{4}=\mathrm{H}_{2} \mathrm{O}, A_{5}=\mathrm{CO}$, and $A_{6}=\mathrm{CO}_{2}$.

## Species Vector and Sign Convention

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

$$
A_{1}=\mathrm{H}, A_{2}=\mathrm{H}_{2}, A_{3}=\mathrm{OH}, A_{4}=\mathrm{H}_{2} \mathrm{O}, A_{5}=\mathrm{CO}, \text { and } A_{6}=\mathrm{CO}_{2}
$$

$$
\begin{aligned}
& A_{4}+A_{5} \rightleftharpoons A_{6}+A_{2} \\
& A_{4}+A_{1} \rightleftharpoons A_{2}+A_{3} \\
& A_{3}+A_{5} \rightleftharpoons A_{6}+A_{1}
\end{aligned}
$$

## Species Vector and Sign Convention

$$
\begin{aligned}
& A_{4}+A_{5} \rightleftharpoons A_{6}+A_{2} \\
& A_{4}+A_{1} \rightleftharpoons A_{2}+A_{3} \\
& A_{3}+A_{5} \rightleftharpoons A_{6}+A_{1} \\
&-A_{4}-A_{5}+A_{6}+A_{2}=0 \\
&-A_{4}-A_{1}+A_{2}+A_{3}=0 \\
&-A_{3}-A_{5}+A_{6}+A_{1}=0
\end{aligned}
$$

products have positive coefficients
reactants have negative coefficients

## The Stoichiometric Matrix

$$
\left[\begin{array}{rrrrrr}
0 & 1 & 0 & -1 & -1 & 1 \\
-1 & 1 & 1 & -1 & 0 & 0 \\
1 & 0 & -1 & 0 & -1 & 1
\end{array}\right]\left[\begin{array}{l}
A_{1} \\
A_{2} \\
A_{3} \\
A_{4} \\
A_{5} \\
A_{6}
\end{array}\right]=\left[\begin{array}{l}
0 \\
0 \\
0
\end{array}\right]
$$

$$
\text { stoichiometric matrix } v
$$

A column vector of $A_{j}, \quad j=1, \ldots, 6$

$$
\nu A=\mathbf{0}
$$

## The $i$ th reaction and the $j$ th species

$$
v A=0
$$

$$
\begin{equation*}
\sum_{j=1}^{n_{s}} \boldsymbol{v}_{i j} A_{j}=0, \quad i=1, \ldots, n_{r} \tag{1}
\end{equation*}
$$

$i$ runs from 1 to $n_{r}$, the total number of reactions in the network
$j$ runs from 1 to $n_{s}$, the total number of species in the network

## Stoichiometric matrix for a single reaction

$$
2 \mathrm{NO}+\mathrm{O}_{2} \rightleftharpoons 2 \mathrm{NO}_{2}
$$

$A_{1}=\mathrm{NO}, A_{2}=\mathrm{O}_{2}, A_{3}=\mathrm{NO}_{2}$.

$$
-2 A_{1}-A_{2}+2 A_{3}=\left[\begin{array}{lll}
-2 & -1 & 2
\end{array}\right]\left[\begin{array}{l}
A_{1} \\
A_{2} \\
A_{3}
\end{array}\right]=0
$$

The stoichiometric matrix for a single reaction is a row vector.

$$
\boldsymbol{v}=\left[\begin{array}{lll}
-2 & -1 & 2
\end{array}\right]
$$

## Columns of $v$

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

$A_{1}=\mathrm{H}, A_{2}=\mathrm{H}_{2}, A_{3}=\mathrm{OH}, A_{4}=\mathrm{H}_{2} \mathrm{O}, A_{5}=\mathrm{CO}$, and $A_{6}=\mathrm{CO}_{2}$.
Instead of $A_{1}=\mathrm{H}$ and $A_{6}=\mathrm{CO}_{2}$, what is the stoichiometric matrix if $A_{1}$ is $\mathrm{CO}_{2}$ and $A_{6}$ is H ?

Modified species vector:
$A_{1}^{\prime}=\mathrm{CO}_{2}, A_{2}^{\prime}=\mathrm{H}_{2}, A_{3}^{\prime}=\mathrm{OH}, A_{4}^{\prime}=\mathrm{H}_{2} \mathrm{O}, A_{5}^{\prime}=\mathrm{CO}$, and $A_{6}^{\prime}=\mathrm{H}$.

## Columns of $v$

$$
\begin{array}{r}
A_{4}^{\prime}+A_{5}^{\prime} \\
A_{4}^{\prime}+A_{1}^{\prime}+A_{2}^{\prime} \\
A_{3}^{\prime} \\
+A_{5}^{\prime}
\end{array} \rightleftharpoons_{2}^{\prime} A_{2}^{\prime}+A_{3}^{\prime}+A_{6}^{\prime} .
$$

## Columns of $v$

1. Switching species one and six in the $A$ implies switching the first and sixth columns in the stoichiometric matrix.
2. Connection of the columns of $v$ and the species taking part in the reactions.
3. The $j$ th column of the $v$ matrix supplies the stoichiometric numbers of the $j$ th species in all of the reactions.

## Rows of $v$

$$
\begin{gathered}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H} \\
A_{1}=\mathrm{H}, A_{2}=\mathrm{H}_{2}, A_{3}=\mathrm{OH}, A_{4}=\mathrm{H}_{2} \mathrm{O}, A_{5}=\mathrm{CO}, \text { and } A_{6}=\mathrm{CO}_{2}
\end{gathered}
$$

Exchange the first and third reactions

$$
\begin{aligned}
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2}
\end{aligned}
$$

## Rows of $v$

$$
\begin{aligned}
A_{3} & +A_{5}
\end{aligned} \rightleftharpoons A_{6}+A_{1} .
$$

## Rows of $v$

- Exchanging the orders of the first and third reactions causes us to exchange the first and third rows in the $\nu$ matrix
- Connection of the rows of $\nu$ and the reactions.
- The $i$ th row of the stoichiometric matrix contains the stoichiometric numbers of all species in the $i$ th reaction.
- Since there is no reason to prefer one ordering of species and reactions over another, one may permute the columns and rows into any order and maintain a valid stoichiometric matrix.


## Silicon chemical vapor deposition (CVD)

$$
\begin{align*}
\mathrm{SiH}_{4} & \rightleftharpoons \mathrm{SiH}_{2}+\mathrm{H}_{2} \\
\mathrm{SiH}_{4} & \rightleftharpoons \mathrm{SiH}_{3}+\mathrm{H} \\
\mathrm{SiH}_{4}+\mathrm{SiH}_{2} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6} \\
\mathrm{Si}_{2} \mathrm{H}_{4}+\mathrm{H}_{2} & \rightleftharpoons \mathrm{SiH}_{4}+\mathrm{SiH}_{2} \\
\mathrm{SiH}_{4}+\mathrm{H} & \rightleftharpoons \mathrm{SiH}_{3}+\mathrm{H}_{2} \\
\mathrm{SiH}_{4}+\mathrm{SiH}_{3} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{5}+\mathrm{H}_{2} \\
\mathrm{SiH}_{4}+\mathrm{SiH} & \rightleftharpoons \mathrm{SiH}_{3}+\mathrm{SiH}_{2} \\
\mathrm{SiH}_{4}+\mathrm{SiH} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{5} \\
\mathrm{SiH}_{4}+\mathrm{Si} & \rightleftharpoons 2 \mathrm{SiH}_{2} \\
\mathrm{Si}_{+} \mathrm{H}_{2} & \rightleftharpoons \mathrm{SiH}_{2} \\
\mathrm{SiH}_{2}+\mathrm{SiH}_{2} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{3} \\
\mathrm{SiH}_{2}+\mathrm{Si} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{2} \\
\mathrm{SiH}_{2}+\mathrm{Si}_{3} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{2}+\mathrm{Si}_{2} \\
\mathrm{H}_{2}+\mathrm{Si}_{2} \mathrm{H}_{2} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{4} \\
\mathrm{H}_{2}+\mathrm{Si}_{2} \mathrm{H}_{4} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6} \\
\mathrm{H}_{2}+\mathrm{SiH}_{2} & \rightleftharpoons \mathrm{SiH}_{3} \\
\mathrm{H}_{2}+\mathrm{Si}_{2} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{2} \\
\mathrm{H}_{2}+\mathrm{Si}_{2} \mathrm{H}_{3} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{5} \\
\mathrm{Si}_{2} \mathrm{H}_{2}+\mathrm{H} & \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{3} \\
\mathrm{Si}+\mathrm{Si}_{3} & \rightleftharpoons 2 \mathrm{Si}_{2} \tag{2}
\end{align*}
$$

## Silicon chemical vapor deposition (CVD)

1. The CVD reactions are a simplified version of 120 reactions that were originally postulated for this reaction network [1].
2. Combustion chemistry: several hundred reactions.
3. Polymerizations and long-chain-producing reactions: thousands of species and associated reactions.
4. The stoichiometry of these complex problems is intractable if we do not develop a systematic, automated procedure.

## Stoichiometric matrix for CVD chemistry

There are 20 reactions, $n_{r}=20$.
There are 14 different species, $n_{s}=14$.
A possible assignment to the $A$ vector is: $\mathrm{H}, \mathrm{H}_{2}, \mathrm{Si}, \mathrm{SiH}, \mathrm{SiH}_{2}, \mathrm{SiH}_{3}, \mathrm{SiH}_{4}, \mathrm{Si}_{2}$, $\mathrm{Si}_{2} \mathrm{H}_{2}, \mathrm{Si}_{2} \mathrm{H}_{3}, \mathrm{Si}_{2} \mathrm{H}_{4}, \mathrm{Si}_{2} \mathrm{H}_{5}, \mathrm{Si}_{2} \mathrm{H}_{6}, \mathrm{Si}_{3}$.

## Stoichiometric matrix for CVD chemistry

$$
\boldsymbol{\nu}=\left[\begin{array}{rrrrrrrrrrrrrr}
0 & 1 & 0 & 0 & 1 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & -1 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\
0 & -1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\
-1 & 1 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 & -1 & -1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & -1 & 1 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & -1 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & -1 & 0 & 2 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & -1 & -1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & -1 & -1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & -1 & 0 & -1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & -1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & -1 \\
0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 & 0 \\
0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 1 & 0 \\
0 & -1 & 0 & -1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & -1 & 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\
-1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & -1 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & -1
\end{array}\right]
$$

## Stoichiometric matrix for CVD chemistry

$\boldsymbol{v}$ is a $20 \times 14$ matrix; it contains many zero entries.
A matrix with many zero entries is called sparse
Physical fact: very few molecules can take part in a particular reaction. Why?
All of the reactions in the CVD chemistry are unimolecular or bimolecular.

## Conservation of mass

Show conservation of mass in a chemical reaction can be stated as

$$
\boldsymbol{v} M=\mathbf{0}
$$

in which $M_{j}$ is the molecular weight of species $j$.

## What is conserved?

$$
2 \mathrm{NO}+\mathrm{O}_{2} \rightleftharpoons 2 \mathrm{NO}_{2}
$$

- In a chemical reaction, the number of molecules is not conserved
- In a chemical (i.e. not nuclear) reaction, elements are conserved
- In a chemical (i.e. not nuclear) reaction, mass is conserved


## Conservation of mass

$$
2 \mathrm{NO}+\mathrm{O}_{2} \rightleftharpoons 2 \mathrm{NO}_{2}
$$

The molecular weights of reactants and products are related by $2 M_{\mathrm{NO}}+M_{\mathrm{O}_{2}}=$ $2 M_{\mathrm{NO}_{2}}$.

$$
\begin{gathered}
-2 M_{\mathrm{NO}}-M_{\mathrm{O}_{2}}+2 M_{\mathrm{NO}_{2}}=\left[\begin{array}{lll}
-2 & -1 & 2
\end{array}\right]\left[\begin{array}{l}
M_{\mathrm{NO}} \\
M_{\mathrm{O}_{2}} \\
M_{\mathrm{NO}_{2}}
\end{array}\right]=0 \\
\boldsymbol{v} \boldsymbol{M}=0
\end{gathered}
$$

## Conservation of Mass

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

$$
A_{1}=\mathrm{H}, A_{2}=\mathrm{H}_{2}, A_{3}=\mathrm{OH}, A_{4}=\mathrm{H}_{2} \mathrm{O}, A_{5}=\mathrm{CO} \text {, and } A_{6}=\mathrm{CO}_{2} .
$$

$$
\boldsymbol{M}=\left[\begin{array}{llllll}
M_{\mathrm{H}} & M_{\mathrm{H}_{2}} & M_{\mathrm{OH}} & M_{\mathrm{H}_{2} \mathrm{O}} & M_{\mathrm{CO}} & M_{\mathrm{CO}_{2}}
\end{array}\right]^{T}
$$

the superscript $T$ means the transpose of the matrix

For the first reaction, $\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2}$, we know

$$
M_{\mathrm{CO}_{2}}+M_{\mathrm{H}_{2}}-M_{\mathrm{H}_{2} \mathrm{O}}-M_{\mathrm{CO}}=0
$$

## Conservation of Mass

$$
\begin{gathered}
{\left[\begin{array}{llllll}
0 & 1 & 0 & -1 & -1 & 1
\end{array}\right]\left[\begin{array}{l}
M_{\mathrm{H}} \\
M_{\mathrm{H}_{2}} \\
M_{\mathrm{OH}} \\
M_{\mathrm{H}_{2} \mathrm{O}} \\
M_{\mathrm{CO}} \\
M_{\mathrm{CO}_{2}}
\end{array}\right]=0} \\
\boldsymbol{v M}=\mathbf{0}
\end{gathered}
$$

## Independent Reactions

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

Question: can we express any reaction in the network as a linear combination of the other reactions?

If we can, then the set of reactions is not independent.
Can we express the first reaction as a linear combination of the second and third reactions?

## Independent Reactions

By inspection, the first reaction is the sum of the second and third reactions, so the set of three reactions is not independent.


If we deleted the first reaction from the network, would the remaining two
reactions be independent? Why or why not?

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

## Independent Reactions

There is nothing wrong with the first reaction
Can we write the second reaction as a linear combination of the first and third reactions?


So the first and third reactions could be chosen as the independent set of two reactions.

For this example, any two of the reactions comprise an independent set.

## Independent Reactions

Consider the stoichiometric matrix for the water gas shift reaction

$$
\boldsymbol{v}=\left[\begin{array}{rrrrrr}
0 & 1 & 0 & -1 & -1 & 1 \\
-1 & 1 & 1 & -1 & 0 & 0 \\
1 & 0 & -1 & 0 & -1 & 1
\end{array}\right]
$$

Whether or not the $i$ th reaction is a linear combination of the other reactions is the same as whether or not the $i$ th row of the $\boldsymbol{v}$ matrix is a linear combination of the other rows.

## Independent Reactions

- The linear independence of the reactions in a reaction network is equivalent to the linear independence of the rows in the corresponding stoichiometric matrix.
- The rank of a matrix is defined as the number of linearly independent rows (or equivalently, columns) in the matrix.
- Therefore, the number of linearly independent reactions in a network, $n_{i}$, is equal to the rank of $\nu$.


## More species than reactions

Our first use of mathematics to tell us something nonobvious about reactions
Show that mass conservation implies that any independent set of reactions has more species than reactions.

We know that mass conservation is equivalent to

$$
\boldsymbol{v} M=\mathbf{0}
$$

Consider the columns of the $\boldsymbol{v}$ matrix as column vectors.
Matrix-vector multiplication can be expressed as a linear combination of the columns of $\boldsymbol{v}$ with the elements of the $\boldsymbol{M}$ vector as the coefficients in the linear combination

## More species than reactions

$$
\begin{aligned}
\boldsymbol{v} \boldsymbol{M} & =\left[\begin{array}{cccc}
v_{11} & v_{12} & \cdots & v_{1 n_{s}} \\
\vdots & \vdots & \ddots & \vdots \\
v_{n_{i} 1} & v_{n_{i} 2} & \cdots & v_{n_{i} n_{s}}
\end{array}\right]\left[\begin{array}{c}
M_{1} \\
M_{2} \\
\vdots \\
M_{n_{s}}
\end{array}\right] \\
& =\left[\begin{array}{c}
\nu_{11} \\
\vdots \\
v_{n_{i} 1}
\end{array}\right] M_{1}+\left[\begin{array}{c}
v_{12} \\
\vdots \\
v_{n_{i} 2}
\end{array}\right] M_{2}+\cdots+\left[\begin{array}{c}
v_{1 n_{s}} \\
\vdots \\
v_{n_{i} n_{s}}
\end{array}\right] M_{n_{s}} \\
& =\left[\begin{array}{c}
0 \\
\vdots \\
0
\end{array}\right]
\end{aligned}
$$

## More species than reactions

The last equation implies the columns of $\boldsymbol{v}$ are linearly dependent because the molecular weights are nonzero.

Because the rows are linearly independent, we conclude there are more columns (species) than rows (independent reactions), $n_{s}>n_{i}$ and $v$ is a wide matrix (i.e. not a square or tall matrix).

## The fine print

Notice that one must consider linearly independent reactions for the statement in the example to be true.

$$
\begin{aligned}
& 2 \mathrm{NO}+\mathrm{O}_{2} \rightleftharpoons 2 \mathrm{NO}_{2} \\
& 4 \mathrm{NO}+2 \mathrm{O}_{2} \rightleftharpoons 4 \mathrm{NO}_{2} \\
& 6 \mathrm{NO}+3 \mathrm{O}_{2} \rightleftharpoons 6 \mathrm{NO}_{2} \\
& 8 \mathrm{NO}+4 \mathrm{O}_{2} \rightleftharpoons 8 \mathrm{NO}_{2} \\
& \boldsymbol{v}=\left[\begin{array}{rrr}
-2 & -1 & 2 \\
-4 & -2 & 4 \\
-6 & -3 & 6 \\
-8 & -4 & 8
\end{array}\right]
\end{aligned}
$$

## Maximal sets of linearly independent reactions.

Please read the book for this discussion.
I will skip over this in lecture.

## Reaction Rates

Consider the third reaction in the CVD chemistry

$$
\mathrm{SiH}_{4}+\mathrm{SiH}_{2} \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6}
$$

The reaction rate, $r$, is defined as the number of times this reaction event takes place per time per volume.

## Reaction rate for $\mathrm{SiH}_{2}+\mathrm{SiH}_{4} \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6}$



Turn $\mathrm{SiH}_{4}, \mathrm{SiH}_{2}$ and $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecules loose in a box of some fixed volume $V$
The reaction extent, $\varepsilon$, keeps track of the number of times this reaction
event occurs.
Count up the net number of times an $\mathrm{SiH}_{4}$ molecule hits an $\mathrm{SiH}_{2}$ molecule and turned into an $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecule during a short period of time.

## Reaction rate for $\mathrm{SiH}_{2}+\mathrm{SiH}_{4} \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6}$



The change in the reaction extent, $\Delta \varepsilon$, is the net number of reaction events that occur in the time interval $\Delta t$.

The reaction rate is then

$$
r=\frac{\Delta \varepsilon}{\Delta t V}
$$

## Sign of $r$, forward or reverse?

- If the forward event (an $\mathrm{SiH}_{4}$ molecule and an $\mathrm{SiH}_{2}$ molecule turning into an $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecule) occurs more often than the reverse event (an $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecule decomposing into an $\mathrm{SiH}_{4}$ molecule and an $\mathrm{SiH}_{2}$ molecule), then the change in $\varepsilon$ is positive and the reaction rate is positive.
- If the reverse event occurs more often than the forward event, then the change in $\varepsilon$ and reaction rate are negative.
- If the system is at equilibrium, then the change in $\varepsilon$ is zero and the forward and reverse events occur in equal numbers.


## Units of $r$

The extent $\varepsilon$ is a number of molecular change events.
The units of $r$ are molecules/(time $\cdot$ volume).
Or divide by Avogadro's number,
The units of extent are moles and the units of reaction rate are moles/(time volume)

## Continuum assumption



Ignore the discrete nature of the molecules. How?
Take the volume $V$ large enough to average the random fluctuations of the
molecules, but small enough that there is negligible spatial variation in the average concentrations of the components or the reaction rate within $V$.

Under this continuum assumption, we can speak of the reaction rate as defined at a point in space within some larger reacting system or physical reactor equipment.

## Production Rates

It is difficult to measure reaction rates directly, because we do not directly sense molecular transformation events.

We can measure concentrations.
A major goal is to connect the reaction rate to the rate of change of the concentrations of the various species in the reactor, which are the quantities we usually care about in a commercial reactor.
production rate, $R$,
the rate at which a given species is produced (moles/(time-volume)) due to the chemical reactions taking place.

## Production Rates

$$
\mathrm{SiH}_{4}+\mathrm{SiH}_{2} \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6}
$$

Each time the forward reaction event occurs, an $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecule is produced.
Each time the reverse reaction occurs, an $\mathrm{Si}_{2} \mathrm{H}_{6}$ molecule is consumed.
The production rate of $\mathrm{Si}_{2} \mathrm{H}_{6}, R_{\mathrm{Si}_{2} \mathrm{H}_{6}}$, is therefore directly related to the reaction rate,

$$
R_{\mathrm{Si}_{2} \mathrm{H}_{6}}=r
$$

Notice that if $r$ is positive $R_{\mathrm{Si}_{2} \mathrm{H}_{6}}$ is positive as we expect because $\mathrm{Si}_{2} \mathrm{H}_{6}$ is being produced.

## Production Rates

The three production rates are:

$$
\begin{aligned}
R_{\mathrm{SiH}_{4}} & =-r \\
R_{\mathrm{SiH}_{2}} & =-r \\
R_{\mathrm{Si}_{2} \mathrm{H}_{6}} & =r
\end{aligned}
$$

## Production rate vector

The production rate vector, $\boldsymbol{R}$,

$$
\boldsymbol{R}=\left[\begin{array}{l}
R_{\mathrm{SiH}_{4}} \\
R_{\mathrm{SiH}_{2}} \\
R_{\mathrm{Si}_{2} \mathrm{H}_{6}}
\end{array}\right]
$$

The connection between the three production rates and the single reaction rate

$$
\boldsymbol{R}=\left[\begin{array}{r}
-1 \\
-1 \\
1
\end{array}\right] r
$$

## Our friend, the stoichiometric matrix, emerges

$$
\begin{gathered}
\mathrm{SiH}_{4}+\mathrm{SiH}_{2} \rightleftharpoons \mathrm{Si}_{2} \mathrm{H}_{6} \\
\boldsymbol{v}=\left[\begin{array}{ll}
-1 & -1 \\
1
\end{array}\right] \\
\boldsymbol{R}=\left[\begin{array}{r}
-1 \\
-1 \\
1
\end{array}\right] r
\end{gathered}
$$

The column vector in this equation is the transpose of the row ver $v$

## Multiple Reactions

Recall the water gas shift

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

Three reaction rates are required to track all three reactions.
$r_{i}$ denotes the $i$ th reaction rate

## Multiple Reactions

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

- Production rate of atomic hydrogen, H
- H is consumed in the second reaction
- H is produced in the third reaction.

$$
R_{\mathrm{H}}=(0) r_{1}+(-1) r_{2}+(1) r_{3}=-r_{2}+r_{3}
$$

## Multiple Reactions

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

- production rate of molecular hydrogen, $\mathrm{H}_{2}$.
- $\mathrm{H}_{2}$ is produced in the first reaction
- $\mathrm{H}_{2}$ is produced in the second reaction

$$
R_{\mathrm{H}_{2}}=(1) r_{1}+(1) r_{2}+(0) r_{3}=r_{1}+r_{2}
$$

## Multiple Reactions

Fill in remaining four species

$$
\left[\begin{array}{l}
R_{\mathrm{H}} \\
R_{\mathrm{H}_{2}} \\
R_{\mathrm{OH}} \\
R_{\mathrm{H}_{2} \mathrm{O}} \\
R_{\mathrm{CO}} \\
R_{\mathrm{CO}_{2}}
\end{array}\right]=\left[\begin{array}{rrr}
0 & -1 & 1 \\
1 & 1 & 0 \\
0 & 1 & -1 \\
-1 & -1 & 0 \\
-1 & 0 & -1 \\
1 & 0 & 1
\end{array}\right]\left[\begin{array}{l}
r_{1} \\
r_{2} \\
r_{3}
\end{array}\right]
$$

## Fundamental Relationship

$$
\left[\begin{array}{l}
R_{\mathrm{H}} \\
R_{\mathrm{H}_{2}} \\
R_{\mathrm{OH}} \\
R_{\mathrm{H}_{2} \mathrm{O}} \\
R_{\mathrm{CO}} \\
R_{\mathrm{CO}_{2}}
\end{array}\right]=\left[\begin{array}{rrr}
0 & -1 & 1 \\
1 & 1 & 0 \\
0 & 1 & -1 \\
-1 & -1 & 0 \\
-1 & 0 & -1 \\
1 & 0 & 1
\end{array}\right]\left[\begin{array}{l}
r_{1} \\
r_{2} \\
r_{3}
\end{array}\right] \quad\left[\begin{array}{rrrrrr}
0 & 1 & 0 & -1 & -1 & 1 \\
-1 & 1 & 1 & -1 & 0 & 0 \\
1 & 0 & -1 & 0 & -1 & 1
\end{array}\right]\left[\begin{array}{l}
A_{1} \\
A_{2} \\
A_{3} \\
A_{4} \\
A_{5} \\
A_{6}
\end{array}\right]=\left[\begin{array}{l}
0 \\
0 \\
0
\end{array}\right]
$$

The two matrices are transposes of each other.

## Fundamental Relationship

$$
\boldsymbol{R}=\boldsymbol{v}^{T} \boldsymbol{r}
$$

in which $\boldsymbol{\nu}^{T}$ denotes the transpose of the stoichiometric matrix.
We can always compute the production rates from the reaction rates. That computation is a simple matter of matrix multiplication.

The reverse problem, deducing the reaction rates from the production rates, is not so simple as it involves solving a set of equations.

## Computing Production Rates from Reaction Rates

Computing $R$ from $r$ is a simple
Consider again the water gas shift reaction chemistry,

$$
\left[\begin{array}{rrrrrr}
0 & 1 & 0 & -1 & -1 & 1 \\
-1 & 1 & 1 & -1 & 0 & 0 \\
1 & 0 & -1 & 0 & -1 & 1
\end{array}\right]\left[\begin{array}{l}
\mathrm{H} \\
\mathrm{H}_{2} \\
\mathrm{OH} \\
\mathrm{H}_{2} \mathrm{O} \\
\mathrm{CO} \\
\mathrm{CO}_{2}
\end{array}\right]=\left[\begin{array}{l}
0 \\
0 \\
0
\end{array}\right]
$$

In Chapter 5 we discuss means for predicting reaction rates given species concentrations, but for now just assume we know the three reaction rates are, in some chosen units of moles/(time•volume),

## Computing Production Rates from Reaction Rates

Assume we know the reaction rates are:

$$
\left[\begin{array}{l}
r_{1} \\
r_{2} \\
r_{3}
\end{array}\right]=\left[\begin{array}{l}
1 \\
2 \\
3
\end{array}\right]
$$

The production rates of the six species due to these reactions are then com-
puted as:

$$
\left[\begin{array}{l}
R_{\mathrm{H}} \\
R_{\mathrm{H}_{2}} \\
R_{\mathrm{OH}} \\
R_{\mathrm{H}_{2} \mathrm{O}} \\
R_{\mathrm{CO}} \\
R_{\mathrm{CO}_{2}}
\end{array}\right]=\left[\begin{array}{rrr}
0 & -1 & 1 \\
1 & 1 & 0 \\
0 & 1 & -1 \\
-1 & -1 & 0 \\
-1 & 0 & -1 \\
1 & 0 & 1
\end{array}\right]\left[\begin{array}{l}
1 \\
2 \\
3
\end{array}\right]=\left[\begin{array}{r}
1 \\
3 \\
-1 \\
-3 \\
-4 \\
4
\end{array}\right]
$$

## Computing Production Rates from Reaction Rates

$$
\begin{aligned}
\mathrm{H}_{2} \mathrm{O}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
\mathrm{H}_{2} \mathrm{O}+\mathrm{H} & \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH} \\
\mathrm{OH}+\mathrm{CO} & \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}
\end{aligned}
$$

$$
\left[\begin{array}{l}
r_{1} \\
r_{2} \\
r_{3}
\end{array}\right]=\left[\begin{array}{l}
1 \\
2 \\
3
\end{array}\right]
$$

The effect of the three reactions is to produce $\mathrm{H}, \mathrm{H}_{2}$ and $\mathrm{CO}_{2}$, and to consume $\mathrm{OH}, \mathrm{H}_{2} \mathrm{O}$ and CO at the given rates.

## Computing Reaction Rates from Production Rates

Linearly independent set: the first two water gas shift reactions

$$
\begin{aligned}
& \mathrm{H}_{2} \mathrm{O}+\mathrm{CO} \rightleftharpoons \mathrm{CO}_{2}+\mathrm{H}_{2} \\
& \mathrm{H}_{2} \mathrm{O}+\mathrm{H} \rightleftharpoons \mathrm{H}_{2}+\mathrm{OH}
\end{aligned}
$$

Production rates:

$$
\left[\begin{array}{l}
R_{\mathrm{H}} \\
R_{\mathrm{H}_{2}} \\
R_{\mathrm{OH}} \\
R_{\mathrm{H}_{2} \mathrm{O}} \\
R_{\mathrm{CO}} \\
R_{\mathrm{CO}_{2}}
\end{array}\right]=\left[\begin{array}{rr}
0 & -1 \\
1 & 1 \\
0 & 1 \\
-1 & -1 \\
-1 & 0 \\
1 & 0
\end{array}\right]\left[\begin{array}{l}
r_{1} \\
r_{2}
\end{array}\right]
$$

## Errors in the Data and Least-Squares Estimation of Rates

We can compute the production rates when the two reaction rates are

$$
\left[\begin{array}{l}
r_{1} \\
r_{2}
\end{array}\right]=\left[\begin{array}{l}
1 \\
2
\end{array}\right]
$$

we obtain

$$
\boldsymbol{R}=\left[\begin{array}{r}
-2 \\
3 \\
2 \\
-3 \\
-1 \\
1
\end{array}\right]
$$

## Errors in the Data and Least-Squares Estimation of Rates

Assume the production rate of H is in error

$$
\boldsymbol{R}_{\mathrm{meas}}=\left[\begin{array}{r}
\boxed{-2.1} \\
3 \\
2 \\
-3 \\
-1 \\
1
\end{array}\right]
$$

Six equations and only two unknowns, the equations are inconsistent, no exact solution.

## Errors in the Data and Least-Squares Estimation of Rates

Least-Squares Approach:
Square the error in each equation and sum
Inconsistent equations (no exact solution).

$$
\boldsymbol{R}=\boldsymbol{v}^{T} \boldsymbol{r}
$$

Least-squares solution.

$$
\boldsymbol{r}=\left(\boldsymbol{v} \boldsymbol{v}^{T}\right)^{-1} \boldsymbol{v} \boldsymbol{R}
$$

## Impact of measurement error

$$
\boldsymbol{R}_{\text {meas }}=\left[\begin{array}{r}
\boxed{-2.1} \\
3 \\
2 \\
-3 \\
-1 \\
1
\end{array}\right] \Rightarrow \boldsymbol{r}_{\mathrm{est}}=\left[\begin{array}{l}
0.98333 \\
2.03333
\end{array}\right]
$$

instead of the correct

$$
\boldsymbol{r}=\left[\begin{array}{l}
1 \\
2
\end{array}\right]
$$

A small error in the $H$ production rate has translated into small errors in both inferred reaction rates.

## Impact of measurement error

$$
\boldsymbol{R}_{\text {meas }}=\left[\begin{array}{rrrrrr}
-2.05 & -2.06 & -1.93 & -1.97 & -2.04 & -1.92 \\
2.94 & 3.02 & 3.04 & 2.93 & 3.06 & 3.04 \\
2.01 & 1.94 & 2.01 & 1.92 & 2.01 & 2.04 \\
-2.98 & -2.98 & -2.98 & -2.99 & -2.96 & -2.96 \\
-1.03 & -1.03 & -0.98 & -1.07 & -0.95 & -1.08 \\
0.97 & 1.05 & 1.06 & 1.09 & 1.00 & 1.07
\end{array}\right]
$$

Take each column of $\boldsymbol{R}_{\text {meas }}$, and compute the least squares estimate of $\boldsymbol{r}$ for that measurement

$$
\boldsymbol{r}_{\mathrm{est}}=\left[\begin{array}{llllll}
0.97 & 1.03 & 1.03 & 1.06 & 0.98 & 1.05 \\
2.01 & 1.99 & 1.98 & 1.92 & 2.03 & 1.96
\end{array}\right]
$$

## Estimating reaction rates from production rates



Figure 1: Estimated reaction rates from six production rate measurements subject to measurement noise.

## Estimating reaction rates from production rates



Figure 2: Estimated reaction rates from 500 production rate measurements subject to measurement noise.

## Summary - stoichiometric matrix

Keeping track of the stoichiometry of chemical reactions,

$$
\sum_{j=1}^{n_{s}} v_{i j} A_{j}=0, \quad i=1,2, \ldots, n_{r}
$$

$A_{j}$ represents chemical species $j, j=1, \ldots, n_{s} n_{s}$ species in the reaction network
$v_{i j}$ is the stoichiometric coefficient for species $j$ in reaction $i, i=1, \ldots, n_{r}$ $n_{r}$ reactions in the network

$$
\boldsymbol{v} A=\mathbf{0}
$$

## Summary - linear independence

- A set of reactions is linearly independent if no reaction in the set can be written as a linear combination of the other reactions in the set.
- The rank of a matrix is the number of linearly independent rows (equivalently columns) of the matrix
- The rank of $v$ is the number of linearly independent reactions in the network


## Summary - Reaction and Production Rates

- We define the rate of reaction $i, r_{i}$, to be the net number of times a reaction event occurs per time per volume.
- Given the rates of all reactions, we can calculate directly the production rates of all species,

$$
\begin{gathered}
R_{j}=\sum_{i=1}^{n_{r}} v_{i j} r_{i}, \quad j=1, \ldots n_{s} \\
\boldsymbol{R}=\boldsymbol{v}^{T} \boldsymbol{r}
\end{gathered}
$$

## Summary - exchanging rates

Given the rates of reactions, it is a simple matter to compute the species production rates

In general, one cannot solve uniquely the reverse problem, namely given observed production rates, compute the corresponding reaction rates.

We require additional information, such as rate expressions for the elementary reactions in a reaction mechanism.

If the set of chemical reactions is linearly independent, then one can uniquely solve the reverse problem.

## Summary - measurement and least squares

$$
\begin{equation*}
\boldsymbol{R}=\boldsymbol{v}^{T} \boldsymbol{r} \tag{3}
\end{equation*}
$$

If the observed production rates contain experimental errors, there may not exist an exact solution of reaction rates, $\boldsymbol{r}$, that satisfy Equation 3.

Find the reaction rates that most closely satisfy Equation 3.
The closest solution in a least-squares sense is easily computed with standard linear algebra software.

## Notation

$A_{j} \quad j$ th species in the reaction network
$a_{j l} \quad$ chemical formula number in species $j$ corresponding to element $l$
$E^{l} \quad l$ th element comprising the species
$i \quad$ reaction index, $i=1,2, \ldots, n_{r}$
$j \quad$ species index, $j=1,2, \ldots, n_{s}$
$M_{j} \quad$ molecular weight of the $j$ th species
$n_{i}$
$n_{r}$ number of independent reactions in reaction network total number of reactions in reaction network total number of species in reaction network reaction rate for $i$ th reaction production rate for $j$ th species stoichiometric number for the $j$ th species in the $i$ th reaction

## References

[1] M. E. Coltrin, R. J. Kee, and J. A. Miller. A mathematical model of the coupled fluid mechanics and chemical kinetics in a chemical vapor deposition reactor. J. Electrochem. Soc., 131 (2):425-434, 1984.

